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# Determination of tropospheric vertical columns of NO<sub>2</sub> and aerosol optical properties in a rural setting using MAX-DOAS

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# Abstract

Multi-AXis Differential Optical Absorption Spectroscopy (MAX-DOAS) measurements were performed in a rural location of southwestern Ontario during the Border Air Quality and Meteorology Study. Slant column densities (SCDs) of  $NO_2$  and  $O_4$  were determined using the standard DOAS technique. Using a radiative transfer model and

- the  $O_4$  SCDs, aerosol optical depths were determined for clear sky conditions and compared to OMI, MODIS, AERONET, and local PM<sub>2.5</sub> measurements. This aerosol information was input to a radiative transfer model to calculate NO<sub>2</sub> air mass factors, which were fit to the measured NO<sub>2</sub> SCDs to determine tropospheric vertical
- column densities (VCDs) of NO<sub>2</sub>. The method of determining NO<sub>2</sub> VCDs in this way was validated by comparison to composite VCDs derived from aircraft and ground-based measurements of NO<sub>2</sub>. The new VCDs were compared to VCDs of NO<sub>2</sub> determined via the satellite instruments SCIAMACHY and OMI, for overlapping time periods. The satellite-derived VCDs were higher by 50%, with a mean positive error of
- $0.5-0.9 \times 10^{15}$  molec cm<sup>-2</sup>. This last finding is different from previous studies whereby MAX-DOAS geometric VCDs were higher than satellite determinations, albeit for urban areas with higher VCDs. An effective boundary layer height, BL<sub>eff</sub>, is defined as the ratio of the tropospheric VCD and the ground level concentration of NO<sub>2</sub>. Variations of BL<sub>eff</sub> can be linked to time of day, source region, stability of the atmosphere, and the
- presence or absence of elevated  $NO_x$  sources. In particular, a case study is shown where a high VCD and  $BL_{eff}$  were observed when an elevated industrial plume of  $NO_x$ and  $SO_2$  was fumigated to the surface as a lake breeze front impacted the measurement site. High  $BL_{eff}$  values (~1.9 km) were observed during a regional smog event when high winds from the SW and high convection promoted mixing throughout the
- <sup>25</sup> boundary layer. During this event, the regional line flux of NO<sub>2</sub> through the region was estimated to be greater than 112 kg NO<sub>2</sub> km<sup>-1</sup> h<sup>-1</sup>.

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### Introduction 1

The role of nitrogen oxides in the atmosphere is paramount in atmospheric chemistry due to the deleterious effects they have on the atmosphere and biosphere. Global emissions of NO<sub>x</sub> (NO<sub>2</sub> + NO) to the troposphere has been estimated to be 122 Tg yr<sup>-1</sup>

with approximately 74% of these emissions from anthropogenic and biomass burning sources (Müller, 1992). In the troposphere, the main source of ground state oxygen atoms,  $O({}^{3}P)$ , is the photolysis of NO<sub>2</sub>, Reaction (R1), which subsequently reacts with molecular oxygen to form ozone, Reaction (R2), collectively the two most important reactions in the photochemical formation of ground level ozone (Finlayson-Pitts and Pitts, 1999). 10

$$NO_2 + hv(\lambda < 420 \text{ nm}) \rightarrow O(^3P) + NO$$

$$O(^{3}P) + O_{2} + M \rightarrow O_{3} + M$$

NO<sub>2</sub> is also the main precursor in the formation of key nighttime species, including the nitrate radical, NO<sub>3</sub>, and N<sub>2</sub>O<sub>5</sub>. Hydrolysis of N<sub>2</sub>O<sub>5</sub> on aerosols and cloud droplets to

- form HNO<sub>3</sub> and particle nitrate (McLaren et al., 2004) is thought to be the main removal 15 mechanism for NO<sub>x</sub> in the atmosphere at night (Dentener and Crutzen, 1993). Heterogeneous reaction of NO<sub>2</sub> on surfaces coated with water to form HONO at night is also known to be an important reaction in the atmosphere, as photolysis of HONO is known to be a major source of OH in the morning boundary layer (Platt and Perner, 1980),
- and has been estimated to contribute up to 30% of the total OH production integrated 20 over 24 h in polluted environments (Alicke et al., 2003). For these and other reasons, measurement of NO<sub>2</sub> in the troposphere is important. Concentrations of NO<sub>2</sub> at ground level can be measured via chemiluminescence instruments and through active DOAS (Platt and Stutz, 2008). Multi-AXis Differential Optical Absorption Spectroscopy (MAX-
- DOAS) can also be used for the measurement of small molecules such as NO<sub>2</sub> and O4 through the application of the DOAS technique to spectra of sky scattered sunlight

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to identify and quantify column abundances of trace gases that have narrow band absorption structures in the near UV and Vis wavelength range (Hönninger et al., 2004). In general terms, MAX-DOAS can in principle give more information on the vertical distribution of absorbers than in-situ point source measurements. The measurement

- requires a less sophisticated optical system, less maintenance, and less power than comparable active DOAS systems. Typical instruments have moving telescopes or mirrors that allow the collection of scattered light from different viewing directions, defined by the elevation angle above the horizon,  $\alpha$ , and the horizontal telescope pointing direction,  $\beta$ . Direct measurements are analyzed to yield slant column densities (SCDs)
- of trace absorbers integrated along the light path, and differential slant column densi-10 ties (DSCDs) of trace gas species. DSCDs are determined by fitting all measurements  $(\alpha < 90^{\circ})$  with zenith  $(\alpha = 90^{\circ})$  reference spectra. These spectra, called Fraunhofer references, are used to eliminate strong solar features in the incoming spectrum, and cancel out stratospheric absorptions, allowing DSCDs to contain mainly tropospheric absorptions (Hönninger et al., 2004).

The conversion of DSCDs into vertical column densities (VCDs) is not simple because, unlike active DOAS, the exact path length for each DSCD is not known and depends on factors such as aerosol levels, clouds, albedo, the profile of the trace gases, and the location of the sun. The air mass factor (AMF) is defined as the ratio

- of the SCD over the VCD of a trace gas (Solomon et al., 1987; Perliski and Solomon, 20 1993). Radiative transfer models (RTMs) may be used to obtain AMFs based upon the above parameters. Under conditions with low aerosol, the AMF may be geometrically approximated by  $1/\sin(\alpha)$  for a lower atmospheric absorber and scattering event above the absorbing layer (i.e. troposphere). However, since aerosols are present in most cases, this approximation is rarely valid, and an RTM should be used.
  - Spectroscopic instruments aboard satellites allow for the measurement of SCDs of trace gases (Bovensmann et al., 1999; Levelt et al., 2006). Using various algorithms, VCDs of trace gases can be determined after estimation of the AMF through radiative transfer modeling (Martin et al., 2002; Celarier et al., 2008). Satellites have the

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spatial advantage of obtaining global coverage, but have the temporal disadvantage of only obtaining a daily (OMI) or slightly better than weekly (SCIAMACHY) measurement for a given location on earth. The calculation of appropriate AMFs is a major task in the retrieval of tropospheric VCDs from satellite observations, as it requires a-priori

information on clouds, aerosols, ground albedo, and trace gas profile. Satellite measurements of VCDs are also averaged over large pixel sizes and thus are challenged in the detection of changes on small spatial scales.

As MAX-DOAS and satellites yield comparable information on VCDs, intercomparison between the two methods is desirable. Recent studies have attempted to vali-

- date satellite measurements with ground-based measurements (Irie et al., 2009; Chen et al., 2009). The Dutch Aerosol and Nitrogen Dioxide Experiments for Validation of OMI and SCIAMACHY (DANDELIONS) study focused on urban areas and used geometrical considerations to convert MAX-DOAS DSCDs into VCDs (Brinksma et al., 2008; Celarier et al., 2008). Results from this study indicated that satellites underpre-
- <sup>15</sup> dict NO<sub>2</sub> VCDs. Other studies have used RTMs to determine aerosol conditions and VCDs from MAX-DOAS in both rural and urban areas, using approaches that require a-priori assumptions (Wittrock et al., 2004; Heckel et al., 2005; Irie et al., 2008; Lee et al., 2009; Clémer et al., 2010).
- In this paper, a two-step approach to determine NO<sub>2</sub> VCDs from MAX-DOAS mea-<sup>20</sup> surements is outlined, applied to a data set on a routine basis, and validated with other field measurements. The first step makes use of measured O<sub>4</sub> DSCDs and the RTM McArtim (Monte carlo Atmospheric radiative transfer inversion model) to obtain aerosol conditions for each MAX-DOAS measurement following the approach introduced by Li et al. (2010). This aerosol information is then input to McArtim for the calculation of
- <sup>25</sup> NO<sub>2</sub> AMFs that are ultimately compared to the measured MAX-DOAS SCDs to obtain NO<sub>2</sub> VCDs. In addition to the NO<sub>2</sub> VCDs, aerosol optical depth ( $\tau$ ) values, aerosol layer heights ( $H_{aer}$ ), and gas layer heights ( $H_{gas}$ ) are also determined. A full description and comprehensive analysis of the methodology used is in preparation (Wagner et al., 2011).

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Complex meteorological phenomena imposed by lake breezes interacting with anthropogenic sources are known to modify the air quality in southern Ontario (Reid et al., 1996; Hastie et al., 1999; Sills et al., 2011). One goal of the 2007 Border Air Quality and Meteorology Study (BAQS-Met) was to examine such interactions, using a 3-week

- dataset collected in a rural region of southwestern Ontario, supported by ground-based and aircraft-based measurements of trace gases and aerosols. The methodology outlined here for the determination of VCDs of NO<sub>2</sub> is validated against experimentally derived composite profiles of NO<sub>2</sub> (aircraft + ground measurements) collected during BAQS-Met, and compared to spatially and temporally coincident VCDs of NO<sub>2</sub> deter-
- <sup>10</sup> mined from the satellite instruments OMI and SCIAMACHY. The aerosol optical depth values are compared to spatially relevant OMI, MODIS, AERONET, and  $PM_{2.5}$  measurements. Case studies are presented to demonstrate the ability of MAX-DOAS to detect the complex transport of NO<sub>2</sub> in this region.

# 2 Experimental

### **5 2.1 BAQS-Met Ridgetown supersite**

Measurements were acquired at the Ridgetown site (Fig. 1), during the BAQS-Met field study, 20 June–10 July 2007. Ridgetown is a rural community with a population of ~3500. The measurement site ( $42.45^{\circ}$  N,  $81.89^{\circ}$  W), at an elevation of 202 m a.s.l., was located in an agricultural field at the north end of the University of Guelph (Ridgetown

- <sup>20</sup> campus) away from the town center and direct anthropogenic influences. Surrounding sources (distance and direction) that can influence the site include a major highway, HWY 401 (4 km N), major refineries and chemical industry in Sarnia, ON (70 km NW), 2 major coal-fired power plants (65 km NW), Detroit/Windsor (100 km W), Cleveland, OH (100 km S) and the Golden Horseshoe (Toronto/Hamilton) urban area (200 km NE).
- Numerous urban areas and coal-fired power plants are also located in the Ohio valley region (100–500 km, S–SW). The site was 10 km from the north shoreline of Lake Erie.

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## 2.2 The MAX-DOAS instrument and retrieval

The MAX-DOAS instrument used to measure scattered sunlight included a 1 m focal length Newtonian telescope (Sky Watcher, f/5) with a 20 cm primary mirror and a field of view of 0.06°. A stepper motor controlled the elevation angle ( $\alpha$ ), over the range

- <sup>5</sup> from 0° (horizon) to 90° (zenith) with an error of  $\pm 0.2^{\circ}$ . A fiber optic coupler in the telescope eyepiece focuses light into the fiber optic (2 m, 1000 µm diameter UV enhanced) that transfers light to a CCD spectrometer (Ocean Optics USB 2000<sup>+</sup>, 323–471 nm, grating #7, 2400 lines mm<sup>-1</sup>, 50 µm slit, UV4 upgrade, L4 lens), with 0.5 nm resolution. The spectrometer was housed in a Peltier cooling thermoelectric unit (Resonance Inc.)
- to achieve a stable temperature of 15±0.2°C. The spectrometer data was transferred to a PC via USB connection, while a custom LabVIEW program automated the measurement sequence including light level determination, integration times, collection and storage of averaged spectra, and movement of the telescope elevation angle by stepper motor prior to the next measurement. Every measurement began with an automatic
- <sup>15</sup> determination of light level. This information was used to adjust the integration time of the measurement, ensuring that all measurements had an approximately equal level of signal. One complete cycle lasting approximately 30 min, consisted of a series of measurements with the following elevation angles ( $\alpha$ ): 90°, 30°, 10°, 6°, 4°, 2°. Each measurement typically consisted of 2000 averages with integration times ranging be-
- tween 30–2000 ms, depending on light conditions. With the rationale of studying the passage of lake breeze fronts at the site, the MAX-DOAS telescope was pointed in the SW direction ( $\beta = 235^{\circ}$ ), parallel to the shoreline of Lake Erie.

Each spectrum was corrected by subtracting an electronic offset and dark noise spectrum. These corrected spectra were analyzed using the well-known DOAS tech-

<sup>25</sup> nique (Plane and Smith, 1995; Platt, 1994; Platt and Stutz, 2008). A wavelength calibration using WinDOAS (Fayt and Roozendael, 2011) was performed by fitting a noontime zenith spectrum ( $\alpha = 90^{\circ}$ ) taken on a clean day, henceforth called the Fraunhofer Reference Spectrum (FRS), to a high resolution solar spectrum (Kurucz et al., 1984)

that was convolved with the instrument's slit function. A Ring spectrum (Grainger and Ring, 1962) was calculated from the FRS with DOASIS (Kraus, 2006). The NO<sub>2</sub> and O<sub>3</sub> (223 K and 243 K) absorption cross sections (Bogumil et al., 2003; Vandaele et al., 1998) were convolved using WinDOAS to match the instrument's resolution, while the

- $_{5}$  O<sub>4</sub> (Greenblatt et al., 1990) cross section was interpolated. To determine the NO<sub>2</sub> DSCD for each spectrum, a 3rd order polynomial, the logarithm of the FRS, the Ring spectrum, convolved NO<sub>2</sub>, convolved O<sub>3</sub> (223 K) and an additive polynomial (stray light) were fit to the logarithm of the corrected measurement spectrum using WinDOAS in the fit range 410–435 nm. Figure 2 gives a sample fit for 20 June 2007, 09:46 EDT
- <sup>10</sup> (Eastern Daylight Time = UTC-4). To determine the O<sub>4</sub> DSCD for each spectrum, a 4th order polynomial, the logarithm of the FRS, the Ring spectrum, interpolated O<sub>4</sub>, convolved NO<sub>2</sub>, convolved O<sub>3</sub> (223 K and 243 K) and an additive polynomial were fit to the logarithm of the corrected measurement spectrum in the fit range 355–385 nm.

### 2.3 The active DOAS instrument and retrieval

- <sup>15</sup> Measurements of ground-based NO<sub>2</sub> were made using an active DOAS instrument that has been described in detail previously (McLaren et al., 2010). A retro-reflector was located 1.06 km SW of the site ( $\beta = 235^{\circ}$ ) at an elevation of 6 m a.g.l., giving a total path length of 2.12 km and an average beam height of 3.5 m a.g.l. The return beam was focused onto a 200 µm diameter quartz fiber optic (Ocean Optics), which coupled
- the light into a miniature spectrometer (Ocean Optics USB2000, Grating #10, 295– 635 nm, 1800 lines mm<sup>-1</sup>, 2048 element CCD, 25 μm slit, UV2 upgrade, L2 lens, resolution ~0.5 nm). Spectra were acquired with integration times between 150–350 ms and 4000 averages, for a time resolution of 7–13 min. Mercury lamp spectra were collected periodically for wavelength calibration and for convolving molecular reference
- spectra to the slit function of the spectrometer. Each ambient spectrum was corrected for electronic offset and dark noise. All spectra were fit using DOASIS (Kraus, 2006) in the range of 422–450 nm. The NO<sub>2</sub> fit scenario included a Xe lamp reference spectrum, convolved spectra of NO<sub>2</sub> (Vandaele et al., 1998) and O<sub>3</sub> (223 K) (Bogumil et al., 2003),

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and a 3rd order polynomial. The detection limit ( $3\sigma$ ) for NO<sub>2</sub> was 1.1 ppb, determined by repetitive determination of a low concentration sample.

# 2.4 SCIAMACHY satellite measurements

- The SCanning Imaging Absorption spectroMeter for Atmospheric CHartographY
   (SCIAMACHY) on board the European Space Agency's ENVIronmental SATellite (ENVISAT) (Bovensmann et al., 1999) measures Earthshine spectra from the UV to the NIR with a spectral resolution of 0.22–1.48 nm. It is operated in different viewing geometries, including nadir and limb. In nadir geometry (directed vertically down), the footprint of a single pixel is ~30 × 60 km<sup>2</sup>. Global coverage of nadir measurements is
- achieved every 6 days. In standard operation mode, the measurement state alternates between limb geometry (directed horizontally, tangential to the Earth's surface), and nadir in such a way that limb measurements probe almost the same stratospheric air mass as subsequent nadir measurements.

From the Earthshine spectra measured by SCIAMACHY, total slant column densities of  $NO_2$  are determined using the DOAS fitting technique (Platt and Stutz, 2008).

- <sup>15</sup> ties of NO<sub>2</sub> are determined using the DOAS fitting technique (Platt and Stutz, 2008). For the NO<sub>2</sub> fit in the spectral range 431–460 nm, appropriately convolved absorption cross sections of O<sub>3</sub>, NO<sub>2</sub>, O<sub>4</sub>, H<sub>2</sub>O, H<sub>2</sub>O (liquid), and CHOCHO, Ring spectra accounting for vibrational and rotational Raman scattering, and a 5th order polynomial are included (Beirle et al., 2010). In order to extract tropospheric column densities, the
- stratospheric fraction is estimated and subtracted. This was done using the Reference Sector Method (Beirle et al., 2010). Stratospheric column densities are estimated over the remote Pacific and the remaining tropospheric residuals are corrected for longitudinal variations using the limb measurements of SCIAMACHY. For the conversion of tropospheric SCDs into VCDs of NO<sub>2</sub> (VCD<sub>SCIA</sub> values), tropospheric AMFs are calcu-
- lated via the RTM McArtim (Deutschmann et al., 2011), assuming a fixed tropospheric profile with 80% of the tropospheric NO<sub>2</sub> within a constant boundary layer with a height of 1 km (Chen et al., 2009).

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### 2.5 OMI satellite measurements

Onboard the Aura satellite, the Ozone Monitoring Instrument (OMI) is a nadir-viewing spectroradiometer that uses a 2-D CCD to simultaneously measure the Earthshine spectra in the UV-Vis range from 270–500 nm (Levelt et al., 2006). Specifically, OMI measures in three broad spectral regions (UV-1, UV-2, Vis) with a spectral resolution

between 0.45 and 1.0 nm. It provides global coverage daily with a pixel size of  $13 \times 24 \text{ km}^2$  at nadir increasing to  $\sim 40 \times 160 \text{ km}^2$  at the two ends of the scan line.

The present study uses the NASA standard product (overpass version) of the VCD of  $NO_2$  (VCD<sub>OMI</sub>) obtained from the NASA Aura Validation Data Centre (AVDC) website (NASA, 2010b). From the Earthshine spectra measured by OMI, total slant column

- densities of NO<sub>2</sub> are determined using the DOAS fitting technique (Platt and Stutz, 2008). For the NO<sub>2</sub> fit in the spectral range 405–465 nm, appropriately convolved absorption cross sections of O<sub>3</sub> (Burrows et al., 1999), NO<sub>2</sub> (Vandaele et al., 1998), H<sub>2</sub>O (Harder and Brault, 1997), a Ring spectrum (Chance and Spurr, 1997) accounting for
- vibrational and rotational Raman scattering, and a cubic polynomial are included. To convert these SCDs into VCD<sub>OMI</sub> values, different types of AMFs were calculated using geographically gridded (2.5° × 2.0°) annual mean NO<sub>2</sub> profiles (GEOS-CHEM was used for tropospheric, and the Goddard Chemical Transport model was used for stratospheric profiles Bucsela et al., 2006). Details of the algorithm used for the retrieval of the
- the VCD<sub>OMI</sub> may be found in (Boersma et al., 2001; Bucsela et al., 2006; Celarier et al., 2008; Wenig et al., 2008).

## 2.6 MODIS and OMI aerosol products

The MODerate resolution Imaging Spectroradiometer (MODIS) provides the aerosol optical depth over portions of the continents and over oceans, from two satellites (Terra, Aqua). Daily level 2 data products provide a spatial resolution of a 10 × 10 1-km pixel

Aqua). Daily level 2 data products provide a spatial resolution of a 10 × 10 1-km pixel array (nadir). Two MODIS aerosol level 2 data products, collection 5, MOD04 L2 and MYD04L2, from the Terra and Aqua satellites respectively, are available from the Level

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1 and Atmospheric Archive and Distribution System (LAADS) website (NASA, 2010a). Only those data products that overlapped Ridgetown were used. All MODIS aerosol optical depth values were found at  $\lambda = 550$  nm, and had a maximum sensitivity over land of  $0.05 \pm 15\%$  (Levy et al., 2007). OMI Aerosol Optical Depth ( $\tau$ ) values were taken from the NASA AVDC (NASA, 2010b). The OMAERUV L2 product was selected

taken from the NASA AVDC (NASA, 2010b). The OMAERUV L2 product was selected ( $\lambda = 388 \text{ nm}$ ) for a satellite overpass of Ridgetown. Information on this OMI near-UV retrieval algorithm may be found in Torres et al. (1998).

# 2.7 AERONET aerosol products

AErosol RObotic NETwork (AERONET) aerosol optical depth ( $\tau$ ) values were taken from direct solar measurements using CIMEL sun photometers at two measurement sites (Kellogg, Michigan, USA 42.41° N, 85.37° W, 293 m a.s.l., and Egbert, Ontario, Canada 44.23° N, 79.75° W, 264 m a.s.l.) (NASA, 2010c). All  $\tau$  values used have an uncertainty of ~0.01 to 0.02 ( $\lambda$  = 340 and 380 nm) and were AERONET Version 2.0, Level 2.0 – quality assured data, meaning they were pre- and post-field calibrated,

<sup>15</sup> automatically cloud cleared and manually inspected. Details regarding the AERONET network, and the procedures used to calculate aerosol optical depths may be found in (Dubovik and King, 2000; Holben et al., 1998, 2001).

# 2.8 Additional supporting measurements

The NO<sub>2</sub>, SO<sub>2</sub>, and PM<sub>2.5</sub> were measured at ground level using a chemiluminescence NO<sub>x</sub> analyzer with Mo converter (Thermo Model 42C), trace level pulsed fluorescence SO<sub>2</sub> analyzer (Thermo Model 43C-TL), and Tapered Element Oscillating Microbalance (TEOM) ambient PM<sub>2.5</sub> monitor (R& P Model 1400B with sample equilibration system) respectively. These measurement devices were located in the Ontario Ministry of the Environment's mobile particulate laboratory at the Ridgetown site. Measurements

of NO<sub>2</sub> and other meteorological measurements were also made on-board the NRC Twin Otter Aircraft that was dedicated to the BAQS-Met field campaign. The NO<sub>2</sub>

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measurements were performed with a chemiluminescence NO<sub>x</sub> instrument (TECO 42S) retrofit with a photolytic converter, for measurement of "true" NO<sub>2</sub> (±15%), with a detection limit of 60 pptv ( $3\sigma$ , 1 min). Details on the aircraft campaign measurements are provided in Hayden et al. (2011).

# $_{\rm 5}$ 3 Methodology for determining $\tau$ and NO<sub>2</sub> VCDs from MAX-DOAS

The result of the MAX-DOAS retrieval (Sect. 2.2) is the DSCD:

$$\mathsf{DSCD}_{\alpha} = \mathsf{SCD}_{\alpha} - \mathsf{SCD}_{90}$$

where  $SCD_{\alpha}$  and  $SCD_{90}$  are the slant column densities of measurements with  $\alpha < 90^{\circ}$ and  $\alpha = 90^{\circ}$  respectively. The DSCD represents the difference in column amount of the absorber integrated along the light path through the atmosphere and the column amount of the observer in the SCD. It depends on the trace are amount elevation

amount of the absorber in the SCD<sub>90</sub>. It depends on the trace gas amount, elevation angle ( $\alpha$ ), solar zenith angle (SZA), and relative azimuth angle (RAZI) between the sun and the direction the telescope is pointed ( $\beta$ ).

The AMF is the average light path enhancement for solar light traveling through the atmosphere compared to a straight vertical path orthogonal to the ground (Perliski and Solomon, 1993; Solomon et al., 1987). It is defined as:

 $\mathsf{AMF} = \frac{\mathsf{SCD}}{\mathsf{VCD}}$ 

Similarly, the differential air mass factor (DAMF) is defined as:

$$\mathsf{DAMF} = \frac{\mathsf{DSCD}}{\mathsf{VCD}_{\mathsf{trop}}}$$

<sup>20</sup> Since the DSCD contains only tropospheric trace gas absorptions, for the calculation of the DAMF, only the tropospheric profiles of the trace gases have to be taken into

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account (Sinreich et al., 2005). Expanding and rearranging Eq. (3) gives:

$$VCD_{trop} = \frac{DSCD}{DAMF} = \frac{SCD_{\alpha} - SCD_{90}}{AMF_{\alpha} - AMF_{90}}$$
(4)

Unfortunately the conversion from DSCD to VCD is not easy, because the accurate determination of the DAMF is often difficult.

### 3.1 The geometrical approximation

Using a simple geometrical consideration, the AMF for an absorbing gas may be approximated if the trace gas layer is located below the scattering altitude:

$$\mathsf{AMF}_{\mathsf{GEO}} = \frac{1}{\sin\alpha} \tag{5}$$

Conveniently a DSCD measured at 30° then equals the geometric VCD:

<sup>10</sup> VCD<sub>GEO</sub> = 
$$\frac{\text{DSCD}}{\text{DAMF}_{GEO}} = \frac{\text{DSCD}_{30}}{\frac{1}{\sin(30^\circ)} - \frac{1}{\sin(90^\circ)}} = \frac{\text{DSCD}_{30}}{2 - 1} = \text{DSCD}_{30}$$
 (6)

This geometrical approximation assumes that the stratospheric absorption is similar in the horizontal-viewing and zenith directions (essentially canceling each other out). If there is a large amount of aerosol present, and hence a high degree of Mie scattering, this approximation becomes inaccurate. In most cases a RTM must be employed to

obtain an accurate AMF (Hendrick et al., 2006; Wagner et al., 2007). In general, this approximation would only hold under clear sky and low aerosol conditions. For this study, geometrically approximated VCDs were determined using NO<sub>2</sub> DSCDs at both 30° and 10°. If a pair of geometric VCDs at these elevation angles in the same measurement series agreed to within 15%, then the  $VCD_{GEO}$ , as defined in Eq. (6), was

retained. This criterion ensures that the geometrical approximation is valid, and elimi-20 nates measurement points greatly affected by horizontal inhomogeneites, aerosols, or clouds (Frieß et al., 2006; Brinksma et al., 2008).

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### 3.2 Radiative transfer and inversion

In southwestern Ontario, conditions with low aerosol levels are infrequently encountered during the summer and the geometrical approximation often does not hold. Instead, a RTM was used to determine the AMFs (Hendrick et al., 2006; Wagner et al., 2007). McArtim is a backward model that calculates the photon flux at a certain loca-

tion (latitude, longitude, altitude) in the atmosphere treating multiple scattering with full spherical geometry (Deutschmann et al., 2011). AMFs were calculated for O<sub>4</sub> and NO<sub>2</sub> from McArtim simulated radiances. Input parameters to McArtim include  $\alpha$ , SZA, RAZI, altitude, pressure, temperature, surface albedo = 0.05, single scattering albedo (SSA) = 0.95, asymmetry parameter (g, under the Henyey-Greenstein approxi-10

mation = 0.68), and parameters for the absorbing trace gases.

Wagner et al. (2004) introduced the concept of using the oxygen dimer (O<sub>4</sub>) absorption to retrieve aerosol profiles (Frieß et al., 2006; Li et al., 2010; Wittrock et al., 2004).  $O_4$  results from the bimolecular association of  $O_2$ :

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$$O_2 + O_2 \rightleftharpoons O_4$$

and is temperature and pressure dependent with a scale height of approximately 4 km. An estimated  $O_4$  VCD (expressed as the integrated quadratic  $O_2$  concentration) may be calculated if temperature and pressure vertical profiles are known. This was done for the Ridgetown site using radiosonde data from White Lake, Michigan

- (UWYO, 2010). The estimated O<sub>4</sub> VCD was  $1.28 \times 10^{43}$  molecules<sup>2</sup> cm<sup>-5</sup>. This value 20 agrees with other calculated values using similar approaches at similar elevations:  $1.30 \times 10^{43}$  molecules<sup>2</sup> cm<sup>-5</sup> (Wagner et al., 2009),  $1.26 \times 10^{43}$  molecules<sup>2</sup> cm<sup>-5</sup> (Wagner et al., 2002). Since most O<sub>4</sub> is predominately in the lowest part of the troposphere, this is the region where  $O_4$  DSCDs are most sensitive to changes in the light path
- due to varying levels of aerosols. The amount of aerosol present for a given day and location also has a very large effect on the DAMFs.

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### 3.2.1 Aerosol optical depth ( $\tau$ )

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Aerosol optical depth,  $\tau$ , is the attenuation of light due to aerosol extinction; where  $I_0$  is the original intensity of light, *I* the intensity after traveling a distance *x*, and *E* the aerosol extinction coefficient:

5 
$$\frac{1}{I_0} = e^{-\tau} = e^{-Ex}$$
 (7)

Typically  $\tau$  is defined for light traveling through a vertical column of the atmosphere from sea level to infinity (top of the troposphere), in which *E* is not constant with height. In order to model conditions with varying degrees of aerosol load, an integrated aerosol optical depth is defined as:

$$\tau = \int_{0 \text{ km}}^{20 \text{ km}} E(z) dz \tag{8}$$

where z is the height above the ground (km). In the modeling performed here, E was calculated according to the following equation, as developed in Li et al. (2010):

 $E = \frac{\tau_{\rm RTM}}{H_{\rm aer}} \tag{9}$ 

where  $\tau_{\text{RTM}}$  is the aerosol optical depth determined using the methodology outlined below, and  $H_{\text{aer}}$  the aerosol layer height, which is equivalent to the boundary layer if aerosols are 100% confined to the boundary layer (also see Zieger et al., 2011).

Figure 3 provides a complete scheme of the methodology used in this study. The approach used by Li et al. (2010) to determine aerosol optical depths was extended to obtain  $NO_2$  VCDs on a routine basis. A comprehensive description, sensitivity analy-

<sup>20</sup> sis, and further validation is in preparation (Wagner et al., 2011). McArtim was used to calculate  $O_4$  AMFs at a wavelength of 360 nm, for 50 000 photon paths. A comprehensive set of  $O_4$  DAMFs was catalogued (as a function of input parameters), and used to construct an  $O_4$  DAMF look up table ( $L_a$ ). A MATLAB routine was used to minimize 13049

the difference between O<sub>4</sub> DAMFS in  $L_{\alpha}$ , as a function of  $\tau$  and  $H_{aer}$ , and O<sub>4</sub> DAMFs found via the measured DSCDs and their corresponding O<sub>4</sub> VCDs ( $M_{\alpha}$ ):

$$\chi^{2}(\tau, H_{aer}) = \sum_{\alpha=2^{\circ}}^{30^{\circ}} \left[ \frac{M_{\alpha} - L_{\alpha}(\tau, H_{aer})}{\sigma(M_{\alpha})} \right]^{2}$$
(10)

The results of the minimization yield  $O_4$  DAMF,  $\tau$ , and  $H_{aer}$  values that may be used to describe the aerosol conditions for each cloud-free measurement series.

### 3.2.2 NO<sub>2</sub> vertical column densities

In addition to the dependence on the aerosol profile,  $NO_2$  DAMFs are also a function of the vertical concentration profile of  $NO_2$ . Under the assumption of a horizontally homogeneous trace gas distribution, the atmosphere may be divided vertically into several layers of height, *h*. Each "box" will have its own DAMF, as follows:

$$\mathsf{DAMF}_{\mathsf{box}_i} = \frac{\mathsf{dDSCD}}{\mathsf{dVCD}_i} \tag{11}$$

where dDSCD is the partial DSCD, and dVCD<sub>*i*</sub> is the partial VCD for box<sub>*i*</sub>. Total DAMFs, from 0 m a.g.l. to the top of the atmosphere (TOA) are:

$$\mathsf{DAMF}_{\mathsf{total}} = \frac{\sum_{0}^{\mathsf{TOA}} \mathsf{DAMF}_{\mathsf{box}_i} \cdot \mathsf{VCD}_i}{\sum_{0}^{\mathsf{TOA}} \mathsf{VCD}_i}$$
(12)

<sup>15</sup> McArtim was used to calculate NO<sub>2</sub> DAMF<sub>box</sub> values at 413 nm (within the DOAS fit range) using 50 000 photons for NO<sub>2</sub>. These DAMF<sub>box</sub> values were catalogued in a DAMF<sub>box</sub> look up table. A MATLAB routine selects the appropriate subset of DAMF<sub>box</sub> from the look up table, based on the aerosol scenario previously determined (Eq. 10). NO<sub>2</sub> DSCD<sub>meas</sub> ratios were prepared by taking individually measured SCD<sub>x</sub> values <sup>20</sup> ( $\alpha \leq 30^{\circ}$ ) and subtracting them from the SCD<sub>90</sub> for a given series, then dividing each

DSCD by the DSCD<sub>10</sub>. These DSCD<sub>meas</sub> ratios were then compared to their corresponding NO<sub>2</sub> DAMF<sub>total</sub> ratios. The "best fit" between the DSCD<sub>meas</sub> ratios and the possible DAMF<sub>total</sub> ratios gives the NO<sub>2</sub> layer height,  $H_{gas}$ , and the NO<sub>2</sub> DAMF for a given measurement series as well as the NO<sub>2</sub> VCD<sub> $\alpha$ </sub> values via the following equation:

$$VCD_{\alpha} = \frac{DSCD_{meas}}{DAMF_{total}} = \frac{SCD_{meas}(\alpha) - SCD_{meas}(90^{\circ})}{AMF_{total}(H_{gas}, \alpha) - AMF_{total}(H_{gas}, 90^{\circ})}$$
(13)

Average  $NO_2$  VCDs (henceforth called VCD<sub>RTM</sub>) were calculated for each series:

 $VCD_{RTM} = VCD_{avg} = VCD_{(2^\circ, 4^\circ, 6^\circ, 10^\circ, 30^\circ)}$ 

(14)

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# 4 Results and discussion

### 4.1 Aerosol optical depth/aerosol extinction coefficient

- Figure 4 displays the  $O_4$  DSCD values at Ridgetown for the entire field campaign, under cloud-free conditions. The  $O_4$  DSCDs typically increase with decreasing elevation angles, indicative of a tropospheric absorber, such as on 20, 22, 23, 29 June and 2 and 7 July. However, in several cases (parts of 25, 26, 27 June, and 4, 5, 9, and 10 July) there appears to be little dependence of the DSCDs on elevation angle. This phaseman.
- <sup>15</sup> phenomenon occurs when high levels of aerosol and/or clouds are present and thus the light path from the last scattering event to the detector becomes effectively equal, regardless of the elevation angle of the observation. As only cloud-free conditions are presented here, the effect is largely due to the presence of aerosols. As such, when qualitatively comparing aerosol levels, days such as 20 June appear to contain low
- <sup>20</sup> aerosol extinction, while days such as 9 July, contain elevated aerosol extinction. In order to assess conditions on a more quantitative level, the aerosol optical depth ( $\tau_{\rm RTM}$ ) for each measurement series was determined at 360 nm as described in Sect. 3.2.1 (Fig. 5). The  $\tau_{\rm RTM}$  varied from 0.05 to 2.93, with a mean and a median value of 0.41 and 0.46 respectively. The average relative error of each  $\tau_{\rm RTM}$  13051

value was estimated at 26% (Wagner et al., 2011). The  $\tau_{\text{RTM}}$  values were compared to those  $\tau$  values found from satellite measurements ( $\tau_{\text{MODIS}}$ :  $\lambda$  = 550 nm,  $\tau_{\text{OMI}}$ :  $\lambda$  = 388 nm), and AERONET ground based measurements at Egbert and Kellogg ( $\tau_{\text{EGB}}$ ,  $\tau_{\text{KEL}}$ ,  $\lambda_{\text{avg}}$  = 360 nm for each location). Satellite measurements are only optimally avail-

- <sup>5</sup> able on a daily basis. The comparison was limited due to the different wavelengths used (particularly for  $\tau_{MODIS}$ ) and the fact that the satellites only provide 1 or 2 comparison points daily. Although the measurements are made at similar  $\lambda$  for the AERONET locations, they are relatively far from Ridgetown (Egbert ~320 km NE, Kellogg ~330 km W), yet Ridgetown is approximately central between the two locations. The above fac-
- <sup>10</sup> tors limit the ability to make direct comparisons of  $\tau_{\text{RTM}}$  at Ridgetown, yet the values of  $\tau_{\text{RTM}}$  do lie within the range of the other measurements, as might be expected during times when the high aerosol loading is associated with regional pollution events that affect eastern North America over large spatial distances. Such regionally polluted conditions were observed from 24–27 June and 8–10 July .
- Spatially, it would be better to compare the  $\tau_{\text{RTM}}$  values with aerosol levels measured directly at Ridgetown. Although another measure of  $\tau$  is not available at the site, PM<sub>2.5</sub> mass was measured. When the PM<sub>2.5</sub> was compared to the corresponding  $\tau_{\text{RTM}}$  values, a low level of correlation was found ( $R^2 = 0.068$ ). This is not surprising since PM<sub>2.5</sub> is a ground point-source measurement of particulate mass, while  $\tau_{\text{RTM}}$  represents an
- integrated column quantity of aerosol extinction. A more appropriate comparison of the aerosol properties may be done by examining the aerosol extinction coefficient, *E*. Figure 6a shows the time series comparing the aerosol extinction coefficient calculated via Eq. (9) and the PM<sub>2.5</sub> measured at Ridgetown. A correlation plot for these two related properties is shown in Fig. 6b using data from the entire study. Both panels
- show that there is a high degree of correlation between aerosol extinction and  $PM_{2.5}$  ( $R^2 = 0.75$ ) (also see Zieger et al., 2011). This improved correlation implies that MAX-DOAS observations are sensitive to the total column amount of aerosol, in comparison to the  $PM_{2.5}$  measurement that is sensitive to the concentration of aerosol. It also implies that temporal variations in the aerosol layer height,  $H_{aer}$ , are likely significant at

Ridgetown.

Recent studies have compared local  $PM_{10}$  and  $PM_{2.5}$  measurements to  $\tau$  values determined from MODIS (Chu et al., 2003; Engel-Cox et al., 2004, 2006; Gupta and Christopher, 2008; Kacenelenbogen et al., 2006; Pelletier et al., 2007; Schaap et al.,

- <sup>5</sup> 2009; Wang and Christopher, 2003). The extent of correlation they found between  $\tau_{550}$  (MODIS) and PM<sub>2.5</sub> varied, with  $R^2$  values ranging from 0.27 to 0.60. This range is not entirely surprising as variations in local meteorology, differing aerosol composition, and the distribution of the aerosol layer(s) may all play roles in this relationship. In particular if aerosols are concentrated in the boundary layer, and the boundary layer is highly
- variable, a poor relationship between  $\tau$  and  $PM_{2.5}$  would be expected. If the boundary layer height is known, this correlation may be greatly improved through calculation of *E*. For example, Koelemeijer et al. (2006) found that there was a better correlation ( $R^2 = 0.59$ ) between  $PM_{2.5}$  measurements and the modified aerosol extinction from MODIS, E\*:

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(15)

$$E^* = \frac{l}{\mathsf{BL} \cdot f(\mathsf{RH})}$$

with BL being the boundary layer height, and f(RH) a factor that takes into account the hygroscopic growth of aerosols, as opposed to directly comparing hourly  $PM_{2.5}$  and  $\tau$  ( $R^2 = 0.38$ ). Their result, like ours, implied that most of the aerosol was found within the boundary layer, whose height is variable, preventing an easy direct measurement of aerosol mass through satellite derived quantities.

- Further studies have determined aerosol extinction coefficients and aerosol optical depths from MAX-DOAS  $O_4$  measurements and radiative transfer modeling, and compared them to PM<sub>10</sub>, LIDAR calculated extinction coefficients, and aerosol optical depths from sky radiometers. Irie et al. (2008) compared extinction coefficients
- from MAX-DOAS-RTM inversions run at 476 nm with LIDAR calculated extinction coefficients (slope = 1.01,  $R^2$  = 0.85) and compared  $\tau$  values found from these MAX-DOAS-RTM inversions with  $\tau$  values from a sky radiometer. Both comparisons agreed to within 30% for values determined from ground level to a height of 1 km. Lee et al. 13053

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(2009) compared extinction coefficients found from a MAX-DOAS-RTM inversion run at 356 nm to LIDAR calculated extinction coefficients ( $R^2 = 0.70$ ), and they agreed to within 50%, while a comparison between MAX-DOAS-RTM derived extinction coefficients and PM<sub>10</sub> also showed a relatively good correlation. Our results are consistent with these studies.

# 4.2 Validation of $VCD_{RTM}$ against vertical measurements of $NO_2$

In order to validate our method for deriving NO<sub>2</sub> VCDs using MAX-DOAS and RT calculations, we derived comparative VCDs of NO<sub>2</sub> from a composite of ground-based and aircraft-based NO<sub>2</sub> measurements in the vicinity of Ridgetown during the same time

- frame as the MAX-DOAS measurements. The composite profiles included groundbased NO<sub>2</sub> measurements at Ridgetown via active DOAS, vertical measurements of NO<sub>2</sub> when the aircraft was in the vicinity of Ridgetown, and suitable estimates of NO<sub>2</sub> in the free troposphere above the maximum height of the aircraft. Suitable temporal and spatial overlapping data were found for one day, 26 June 2007. Four composite profiles
- of NO<sub>2</sub> were derived for this day, two in the morning when the boundary layer height was still low, and two in the late afternoon, when the boundary layer had maximized. The morning profiles were split into three discrete sections. Within the boundary layer (Sect. I), the NO<sub>2</sub> mixing ratio was assumed to be homogeneous and equivalent to the NO<sub>2</sub> measured at ground level by DOAS. Boundary layer heights for this time period
- were determined through a combination of LIDAR, vertical temperature profiles measured by tethersonde at the site, and by aircraft in the vicinity of the site. For Sect. II, the portion of the column from the top of the boundary layer to the maximum height of the aircraft, NO<sub>2</sub> measured onboard the aircraft was used when the aircraft was within a suitable criteria distance of the Ridgetown site. In general, the degree of spatial ho-
- $_{25}$  mogeneity in NO<sub>2</sub> increases with height, in the absence of elevated sources, and our criteria for inclusion of data in the composite profile reflected this. From the top of the boundary layer to 800 m a.g.l., only aircraft data collected within 30 km of the site was included, while from ~800 m to 1600 m a.g.l. aircraft data within 80 km of the site was

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included (Fig. 7a). The assumption here is that above the boundary layer in the morning, the NO<sub>2</sub> mixing ratio in the residual layer is regionally homogeneous. For Sect. II, NO<sub>2</sub> number densities were calculated as a function of height accounting for the non-linearity of pressure and temperature, then fit to an exponential function, and integrated

over the appropriate range to yield the NO<sub>2</sub> column density. For Section III, the portion of the column above the top of the aircraft measurements (free troposphere), a constant NO<sub>2</sub> mixing ratio of 50 ppt was assumed (Blond et al., 2007).

For afternoon profiles, the boundary layer was much higher and the assumption of a homogeneous layer of  $NO_2$  was found to be invalid on this day. Mixing ratios of  $NO_2$ ,

- measured when the aircraft penetrated into the boundary layer (~315 m a.g.l.) over land close to Ridgetown, were much lower than values measured at ground level. The boundary layer at this time was 797 ± 45 m a.g.l., determined by potential temperature profiles measured by the aircraft. For this reason, the PM profiles were split into just two sections, with Sects. I and II combined in this case. Sections (I + II) consisted of
- the portion of the column from ground level to the top of the aircraft measurements. Our criteria for inclusion of aircraft data in the profile was that the aircraft had to be within 30 km of the site over land for the boundary layer, within 50 km of the site for heights from the top of the boundary layer to 1400 m a.g.l., and within 140 km of the site for heights between 1400 and 2980 m a.g.l. (Fig. 7c). To determine the total column
- density of this section, an exponential was fit to all NO<sub>2</sub> data from ground level to the top of the available aircraft measurements. This exponential fit was then integrated to obtain the total NO<sub>2</sub> column density for this section. A sensitivity calculation is included later on to explore the uncertainty in this approach. Section III was again assumed to have a constant NO<sub>2</sub> mixing ratio of 50 ppt.
- The vertical column densities of NO<sub>2</sub> calculated from these profiles are henceforth called composite VCDs (VCD<sub>COMP</sub>):

$$VCD_{COMP} = \int_0^{BL} n_{NO_2}^{I} dz + \int_{BL}^{TOAc} n_{NO_2}^{II} dz + \int_{TOAc}^{10 \, \text{km}} n_{NO_2}^{III} dz$$
(16)

where  $n_{\rm NO_2}$  is the number density of NO\_2 for Sects. I, II, III, and TOAc represents 13055

the top of available aircraft measurements. Figure 7a (08:32–11:07 EDT) and Fig. 7c (16:07–18:21 EDT) show the flight path used for each flight. In each figure, the NO<sub>2</sub> mixing ratio is displayed as a function of time and location. The exact position of the aircraft for every 10-minute interval of flying time is also marked. Figure 7b and 7d show

- <sup>5</sup> the altitude profiles for these flights with respect to time of day, and include ascending, descending, and stable height time periods. The closest available NO<sub>2</sub> mixing ratios for each given height have been selected based on both time and location considerations, as discussed previously. Figure 8 displays two sample profiles. Error bars in the *y* dimension represent uncertainties in the boundary layer heights (AM period only), while
- error bars in the *x* dimension represent uncertainties in the NO<sub>2</sub> mixing ratios. Four VCD<sub>COMP</sub> values were determined for the AM and PM flights on this day, to be compared to four VCD<sub>RTM</sub> values coincident in time (Table 1). The uncertainties in the VCD<sub>COMP</sub> arise from several sources. For Sect. I (AM), the contributing factors were the uncertainty in the boundary layer height and the uncertainty in the DOAS NO<sub>2</sub>
- <sup>15</sup> concentration. These two uncertainties were propagated to give the total uncertainty for Sect. I. For each AM measurement the boundary layer was determined from LI-DAR with uncertainty, and verified using temperature profiles from tethersonde/aircraft measurements. For Sect. II, the uncertainty in the exponential fit of NO<sub>2</sub> mixing ratio vs. height was primary. For Sect. III the uncertainty in the assumed NO<sub>2</sub> mixing
- ratio (50 ppt) was assumed to be ±100%. For Sects. (I + II, PM), the uncertainty in the exponential fit was primary, and for Sect. III (PM), the uncertainty in the NO<sub>2</sub> mixing ratio was again assumed to be ±100%. Uncertainties due to spatial and temporal differences between the aircraft measurements and the MAX-DOAS column also exist but were not considered. The uncertainty of VCD<sub>RTM</sub> was estimated based on the com-

parison of VCD<sub>COMP</sub> and VCD<sub>RTM</sub> in Table 1. The relative RMSE of the measurements was 25%. This is our estimated relative uncertainty in the determination of VCD<sub>RTM</sub>.

The mean value of the VCD<sub>COMP</sub> values in Table 1 was  $3.54\pm0.59 \times 10^{15}$  molec cm<sup>-2</sup>, which is reasonable for a rural region (Heland et al., 2002; Irie et al., 2009; Ladstätter-Weißenmayer et al., 2003). The mean value of the VCD<sub>RTM</sub> values was  $4.03\pm0.71 \times 10^{15}$  molec cm<sup>-2</sup>.

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 $10^{15} \text{ molec cm}^{-2}$ . The ratio of VCD<sub>RTM</sub>/VCD<sub>COMP</sub> =  $1.14 \pm 0.27$ , while the regression of VCD<sub>RTM</sub> vs. VCD<sub>COMP</sub> indicates a slope of  $1.16 \pm 0.12$  (1 $\sigma$ ) that is not statistically different from 1.0 at the 95% confidence level. The regression was forced to zero because the intercept in a two parameter linear regression did not show an intercept that

- was statistically different from zero. The mean bias in the VCD<sub>RTM</sub> values compared to the VCD<sub>COMP</sub> values is  $+0.49 \times 10^{15}$  molec cm<sup>-2</sup>, again not statistically different from zero. Although we have only compared a limited number of points, the comparison above serves as a preliminary validation of the method outlined in this paper for the determination of VCDs. There is no evidence of a statistically significant bias in the de-
- termination of VCD using this method at the moderate NO<sub>2</sub> column levels that existed during the comparison.

### 4.3 Comparison between VCD<sub>RTM</sub> and satellite VCDs of NO<sub>2</sub>

Figure 9 displays all tropospheric VCDs of NO<sub>2</sub> for the Ridgetown site throughout the study. The VCD<sub>RTM</sub>, VCD<sub>GEO</sub>, and VCD<sub>COMP</sub> (26 June) are shown, along with the

- <sup>15</sup> satellite-derived measures VCD<sub>OMI</sub> and VCD<sub>SCIA</sub>. Only 9 VCD<sub>SCIA</sub> measurements were available during the study period due to the limited temporal sampling of the ENVISAT satellite. There were 31 measurements from the OMI instrument on the Aura satellite as it provides daily global coverage with successive orbits separated by 100 min providing one or two measurements per day at a specified location. To facil-
- itate comparisons between  $VCD_{RTM}$  and  $VCD_{satellite}$ , measurements were paired only when the two measurements were made within one hour of each other. Only one comparison pair was available for SCIAMACHY while 8 comparisons were available for the OMI instrument. The statistical comparison is provided in Table 2, where all VCDs are compared to  $VCD_{RTM}$ . The comparison indicates that the VCDs derived by satel-
- lite were higher than those of the VCD<sub>RTM</sub>. Presuming a proportionate error, the two satellites determinations are about 50% higher than VCD<sub>RTM</sub>, but only statistically so for the OMI instrument. The proportionate error for OMI was determined in two ways:

(i) the ratio of the averages,  $VCD_{OMI}/VCD_{RTM}$ , and (ii) the slope of the regression of  $VCD_{OMI}$  vs.  $VCD_{RTM}$ , with the y-intercept forced to zero (intercept was equal to zero within error). If one presumes a constant bias in the satellite measurements, the absolute errors dictated by the mean error or the root mean square error (RMSE), falling in

the range of  $+0.5 - 1.3 \times 10^{15}$  molec cm<sup>-2</sup> would be more appropriate. Our results do not provide sufficient statistical evidence of whether the satellite error is proportionate or absolute.

Earlier studies (Brinksma et al., 2008; Celarier et al., 2008; Chen et al., 2009; Irie et al., 2009) found various satellite-derived NO<sub>2</sub> VCDs to be substantially lower than

- <sup>10</sup> MAX-DOAS derived NO<sub>2</sub> VCDs. It should be noted that in those studies the MAX-DOAS VCDs were geometrically approximated (similar to VCD<sub>GEO</sub>), and the distinction from a full MAX-DOAS with radiative transfer derived VCD (VCD<sub>RTM</sub>) should be taken into account in all these studies. Our observation of satellite VCDs with a positive bias compared to VCD<sub>RTM</sub> and VCD<sub>COMP</sub> is in contrast to the earlier studies. One
- <sup>15</sup> possible explanation for this is that previous comparisons have frequently focused on urban and suburban areas where average NO<sub>2</sub> VCDs were significantly higher. For example, the VCD of NO<sub>2</sub> ranged from  $0.5-5 \times 10^{16}$  molec cm<sup>-2</sup> during DANDE-LIONS at Cabauw, Netherlands (Brinksma et al., 2008; Celarier et al., 2008) with a median of ~  $1.5 \times 10^{16}$  molec cm<sup>-2</sup>, whereas the VCD range in the current study is
- $_{20}$  0.01 1.25 × 10<sup>16</sup> molec cm<sup>-2</sup> with a median of 2.00 × 10<sup>15</sup> molec cm<sup>-2</sup>. In that study, the MAX-DOAS instruments measured very high NO<sub>2</sub> VCDs in a polluted region, which could not be completely captured by the satellite, due to the regional averaging implicit with a large pixel size. Under such conditions, the satellite will measure lower values than the more localized in situ measurement. The opposite would be true in this study.
- <sup>25</sup> Being situated in a rural region, the local measurements of NO<sub>2</sub> made by MAX-DOAS and the aircraft are relatively low. In contrast, the large pixel area coverage of the satellite instruments (OMI –  $13 \times 24 \text{ km}^2$ , SCIAMACHY –  $30 \times 60 \text{ km}^2$ ) will be higher than the local measurement when the pixel is impacted by surrounding urban areas, such as may occur when prevailing westerly winds carry pollutants from Windsor-Detroit

towards Ridgetown. If a significant amount of a given pixel lies within a position west of Ridgetown, it may be detecting  $NO_2$  from this urban outflow, and thus overestimate the actual  $NO_2$  present at the site (see Fig. 10). Additionally, the satellite retrievals used here do not consider aerosol conditions or temporal changes in the  $NO_2$  profile in their fitting routines. Unpertainting in these parameters could lead to unpertainting

in their fitting routines. Uncertainties in these parameters could lead to uncertainties in the overall satellite-derived VCD, and with low levels of NO<sub>2</sub>, this may lead to large relative uncertainties in these VCDs.

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Also included in Table 2 is a statistical comparison of  $VCD_{GEO}$  to  $VCD_{RTM}$ , where the conservative selection criteria for inclusion was fulfilled, namely that the values

- of geometrically approximated VCDs for elevation angles of 10° and 30° in the same measurement series agreed to within 15%, similar to that used by others (Frieß et al., 2006; Brinksma et al., 2008). This criterion was fulfilled for 10 data pairs, as seen in the table. The comparison indicates that  $VCD_{GEO}$  is lower than  $VCD_{RTM}$  by 8–12%, presuming a proportional error, or with a mean error of  $-0.2 \times 10^{15}$  molec cm<sup>-2</sup>,
- presuming an absolute error. Since both of these VCDs are derived either partly or entirely from the same set of MAX-DOAS measurements, they have nearly identical measurement times, and compared pairs show a high correlation between VCD<sub>GEO</sub> and VCD<sub>RTM</sub>( $R^2 = 0.97$ ).

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The average NO<sub>2</sub> VCD retrieved from OMI measurements during the study period (20 June–10 July 2007) for the BAQS-Met study domain is mapped on a  $0.002^{\circ} \times 0.002^{\circ}$  grid, as described in Wenig et al. (2008), in Fig. 10. The highest VCDs are seen over the metropolitan area of Detroit/Windsor with average VCDs up to ~ 1 ×  $10^{16}$  molec cm<sup>-2</sup>. Other areas with enhanced NO<sub>2</sub> columns include the cities of Toledo, Sarnia, and Cleveland. The waterways between Lake Huron and Lake Erie, one of

the busiest waterways in the world, (St. Clair River and Detroit River) are also hot spots for enhanced columns of NO<sub>2</sub>, and likely indicative of the heavy ship traffic and associated industrial activities supported by the presence of the waterway transport. Also visible from the satellite are enhanced NO<sub>2</sub> columns extending well out into the lakes at the ends of these waterways; Lake Erie (south of Detroit) and Lake Huron

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(north of Sarnia) which are likely indicative of the emissions from underway vessels, anchored vessels awaiting entry into the waterways and recreational boating activities. Considering just the  $NO_2$  VCDs over Lake Erie, the western region of the Lake appears to be the most polluted. In contrast, the measurement site at Ridgetown can be seen to

<sup>5</sup> be in a relatively rural area with a study average NO<sub>2</sub> VCD of  $\sim 2-3 \times 10^{15}$  molec cm<sup>-2</sup>. The lowest VCDs in the domain are seen over Lake Erie south of London and in regions surrounding London to the north and west.

## 4.4 Comparison between VCDs and ground level concentrations of NO<sub>2</sub>

To examine the relationship between NO<sub>2</sub> vertical columns and NO<sub>2</sub> concentrations at ground level, average NO<sub>2</sub> concentrations were calculated for the time periods of all VCD<sub>RTM</sub> determinations. In this analysis and in the case studies to follow, we have used the NO<sub>2</sub> determined from the chemiluminescence instrument (Sect. 2.8) due to the continuous and higher temporal resolution of data available from this instrument compared to the active DOAS instrument. It is well known that the "NO<sub>2</sub>" reported for

- <sup>15</sup> these instruments may be biased high since they may contain some contribution from NO<sub>Z</sub> species (NO<sub>Z</sub> = NO<sub>y</sub> NO<sub>x</sub>) due to reduction of NO<sub>Z</sub> by the Mo convertor. However, for most of the periods of discussion to follow, we generally found good agreement between the NO<sub>2</sub> reported by the chemiluminescence and active DOAS instrument, apart from some early morning periods when we suspect that HONO and HNO<sub>3</sub> ac-
- <sup>20</sup> cumulated overnight may have contributed to the signal. Figure 11 shows three polar class scatter plots using the various NO<sub>2</sub> measures; where the NO<sub>2</sub> VCD<sub>RTM</sub>, NO<sub>2</sub> number density ( $n_{NO_2}$ ), and the ratio VCD<sub>RTM</sub>/ $n_{NO_2}$  are plotted on the radial axis respectively, as a function of the average wind direction and the time of day, color-coded into 4 binned daytime periods. The temporal behavior of the NO<sub>2</sub> measures within <sup>25</sup> each time bin are also tabulated in Table 3, irrespective of wind direction.

Three dominant factors can contribute to the daytime temporal trends in  $NO_2$  observed at the site: (i) temporal changes in emission rates of local  $NO_x$  sources, (ii) changes in dilution effects of boundary layer  $NO_2$  brought about by changes in

the boundary layer height, and (iii) changes in the Leighton ratio,  $[NO_2]/[NO]$ , brought about by changes in the photolysis rate of  $NO_2$ . The ground-based  $NO_2$  concentrations show a clear dependence on the time of day, both in Fig. 11 and Table 3. The highest concentrations are seen in the early morning decreasing by a factor of ~3 by

- <sup>5</sup> the afternoon. As this rural site is not impacted greatly by direct sources of NO<sub>x</sub>, the decrease in NO<sub>2</sub> from early morning to afternoon is likely indicative of a combination of increased dilution in a growing boundary layer, as well as increased photoylsis of NO<sub>2</sub>. The photolysis of NO<sub>2</sub> would give highest losses around solar noon (13:31 EDT at this site), and a corresponding minimum in the middle of the day. The lack of a
- significant minimum in the central bins of  $n_{\rm NO_2}$  in Table 3 indicates that increased dilution in a growing boundary layer is the dominant factor that contributes to the temporal pattern seen for the NO<sub>2</sub> concentration. Conversely, the VCD<sub>RTM</sub> shows less dependence on the time of day (Table 3 and Fig. 11), compared to the NO<sub>2</sub> concentration, and the temporal trend contains a minimum in the middle of the day. This is to be
- <sup>15</sup> expected, as the column density of a pollutant in the boundary layer should be independent of dilution effects resulting from changes in the boundary layer height. The minimum VCD ( $1.5 \times 10^{15}$  molec cm<sup>-2</sup>) is observed in the bin that contains solar noon (Table 3) when NO<sub>2</sub> photolysis would be at its highest rate, while the highest VCD averages ( $2.4\&2.7 \times 10^{15}$  molec cm<sup>-2</sup>) were found in the early morning and early evening
- $_{\rm 20}$  periods, when the NO\_2 photolysis rate is significantly less than solar noon. These observations indicate that the photolysis of NO\_2 and consequent lowering of the NO\_2/NO ratio likely play a more dominant role in the temporal behavior of the NO\_2 VCD.

To examine the differences between  $NO_2$  vertical columns and  $NO_2$  concentrations measured at ground level, the following ratio was calculated:

<sup>25</sup> Ratio = 
$$\frac{\text{VCD}_{\text{RTM}}}{n_{\text{NO}_2}}$$
 = BL<sub>eff</sub>

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whereby the VCD<sub>RTM</sub> and  $n_{NO_2}$  for identical time ranges were compared. In general, one would expect the NO<sub>2</sub> vertical column density and NO<sub>2</sub> concentration at ground

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level to be correlated with one another. If NO<sub>2</sub> is well mixed within a homogeneous boundary layer, and the vertical column is dominated by NO<sub>2</sub> within the boundary layer, then this ratio will be approximately equal to the boundary layer height. However, as we have already seen (Sect. 4.2), the boundary layer is not always well mixed, and free

- <sup>5</sup> tropospheric NO<sub>2</sub> can also contribute to the vertical column of NO<sub>2</sub>, creating a deviation of the ratio from the true boundary layer height. For these reasons, we call this ratio the effective boundary layer height,  $BL_{eff}$ . A value of  $BL_{eff}$  higher than the actual boundary layer height would be observed under the following conditions: (i) an elevated plume of NO<sub>2</sub> exists above the surface site or (ii) the column of NO<sub>2</sub> in the free troposphere
- is a significant fraction of the total tropospheric column (e.g. likely observed under conditions when the boundary layer is relatively unpolluted). Conversely, values of BL<sub>eff</sub> lower than the actual boundary layer would be observed when surface sources of NO<sub>2</sub> are not well mixed in the boundary layer, which could occur under conditions of relative atmospheric stability. In addition to these deviations of BL<sub>eff</sub>, we also expect
   that the temporal pattern of BL<sub>eff</sub> will generally follow the temporal pattern of the real
- boundary layer height.

The values of  $\mathsf{BL}_{\mathsf{eff}}$  observed during this study ranged from 12 m (observed in early morning) to 2.54 km (observed in late afternoon). In general the  $\mathsf{BL}_{\mathsf{eff}}$  increased from early morning to the end of the day (Table 3, Fig. 11), although the average values

- observed in the early afternoon (~200 m) are less that one would expect for continental boundary layer heights in midsummer in this region. This likely indicates that NO<sub>2</sub> is not homogeneously mixed in the boundary layer, as we directly observed by the aircraft measurements on 26 June (Sect. 4.2). Instructive here is to identify cases where the VCD measured by MAX-DOAS shows something different than what is measured by
- the more conventional surface-based point source measurement of NO<sub>2</sub>. These cases would be indicated by the highest and lowest values of BL<sub>eff</sub>. The periods of low BL<sub>eff</sub> are somewhat trivial and are all isolated to early morning events, when a nocturnal inversion is still intact. During such periods, the NO<sub>2</sub> accumulated throughout the night from regional surface sources are trapped in the low inversion creating relatively high

concentrations of NO<sub>2</sub> but only low or moderate tropospheric VCDs. More interesting are periods with high levels of  $BL_{eff}$ , during which a ground-based measurement of NO<sub>2</sub> concentration would underestimate the total amount of NO<sub>2</sub> in the troposphere and transport of NO<sub>2</sub> into the region, with subsequent impacts on regional air quality. In Fig. 11, four such cases are identified as a function of the wind direction measured

at ground level. The highest values of BL<sub>eff</sub> occurred on 30 June, 2, 6 and 9 July 2007. On 30 June (between 12:00–12:40 EDT), the highest value of VCD<sub>RTM</sub> determined in the study was recorded  $(1.25 \times 10^{16} \text{ molec cm}^{-2})$  and the BL<sub>eff</sub> rose to 998 m. During this case, an elevated plume of NO<sub>2</sub> impacted the site from the NW that we attribute

- <sup>10</sup> to industrial point sources in Sarnia. This case is discussed in further detail in the next section. On 2 July (between 15:00–18:00 EDT), on an otherwise cool, clear and clean day, the VCD<sub>RTM</sub> increased to  $5 \times 10^{15}$  molec cm<sup>-2</sup> and BL<sub>eff</sub> = 991 m with virtually no increase in the ground level concentration of NO<sub>2</sub>. We attribute this case to impact by elevated forest fire plumes, originating in northern Ontario that moved southward,
- <sup>15</sup> while the ground level at Ridgetown experienced a clean lake breeze inflow from the southeast. On 6 July, the BL<sub>eff</sub> had a maximum of 1.08 km early in the evening (17:00–19:00 EDT). Winds during this time were from the N–NW and the presence of elevated SO<sub>2</sub> indicates that the site was impacted by a mixture of industrial emissions from Sarnia, and possibly marine vessel emissions from ships on Lake Huron. The high
- <sup>20</sup> boundary layer height would be typical of a lake breeze layer thermally modified after traveling 70 km inland (Sills et al., 2011). On 9 July late in the afternoon, the highest values of BL<sub>eff</sub> (up to 2.5 km) were seen. This case was characterized by strong winds from the SW that is characteristic for southern Ontario, evidence for strong convection and extremely high pollution levels, a classic case of a pollution episode with long-
- range transport of pollutants from the SW. This case will also be discussed in more detail in the next section.

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### 4.4.1 Case studies

Figure 12 summarizes measurements made on 30 June 2007 at Ridgetown. The synoptic flow was gentle and from the northwest in the morning period. Relatively high levels of ground level NO<sub>2</sub> (8–12 ppb) but low levels of SO<sub>2</sub> were seen in the morning period 08:00-10:00 EDT. At the same time, the NO<sub>2</sub> VCD<sub>RTM</sub> was quite low (<1 × 10<sup>15</sup> molec cm<sup>-2</sup>), and BL<sub>eff</sub> was ≤150 m, indicating a shallow inversion layer. Ground level NO<sub>2</sub> mixing ratios decreased rapidly due to the breakup on the nocturnal inversion at about 09:30 EDT and leveled off for the remainder of the morning at ~2 ppb. The characteristic feature on this day was a pollution plume of ~1 h dura-

- <sup>10</sup> tion that impacted the site between 12:00–13:00 EDT. During this short interval, daily maxima were observed for DSCDs ( $\alpha = 2^{\circ}$ , 4°, 6°, 10°), VCD<sub>RTM</sub>,  $\tau_{RTM}$ , PM<sub>2.5</sub>, SO<sub>2</sub>, BL<sub>eff</sub> (Fig. 12), and O<sub>3</sub> (52 ppb, not shown). The maximum of the pollution plume coincided with the arrival of a lake breeze front from Lake Huron to the north. Evidence for this was an increase in the relative humidity, a slight drop in temperature,
- <sup>15</sup> in addition to results from an observational analysis of all lake breeze fronts and their temporal movement in the study region provided by Sills et al. (2011). The results from the MAX-DOAS measurements are particularly informative at this time. In particular, while the DSCDs of NO<sub>2</sub> increased at all elevation angles, the DSCD with  $\alpha = 4^{\circ}$ , DSCD<sub>4</sub>, was higher than the DSCD<sub>2</sub>, an unusual situation that strongly sug-
- <sup>20</sup> gested that the polluted layer was elevated from the surface, or had higher concentrations above the surface than at ground level (Hönninger et al., 2004). This result can be contrasted to the result early in the morning when the nocturnal boundary layer was still intact. During that early morning period, we observed the typical situation in which DSCD<sub>2</sub> > DSCD<sub>4</sub> > DSCD<sub>6</sub> > DSCD<sub>10</sub> > DSCD<sub>30</sub>, commonly seen when a pol-
- <sup>25</sup> luted layer exists at the surface, where larger DSCDs are observed at lower elevation angles due to the larger effective path length of scattered light through the polluted layer (Hönninger et al., 2004). Other evidence that the pollution plume was elevated was the rapid increase in the value of BL<sub>eff</sub>. Despite the presence of an elevated plume,

increases in  $SO_2$ ,  $NO_2$ , and  $NO_x$  were still seen at ground level during this time period of the plume, which coincided with the arrival of the lake breeze front. This suggests that the elevated plume was mixed partially to the surface (but not homogeneously) through a process known as fumigation, where smokestack effluent brought inland in stable stratified marine air is mixed to the surface when it intersects the convective

mixed layer at the lake breeze front (Lyons and Cole, 1973; Sills et al., 2011).

Wind Directions on 30 June 2007 were N–NW (Fig. 12) and the pollutant plume between 12:00–13:00 EDT may be traced back to the region of Sarnia, Ontario ~70 km away, using back trajectory analysis (Fig. 13). Many anthropogenic sources with elevated stack emissions are located close to the Lake Huron shoreline close to Sarnia, in-

- vated stack emissions are located close to the Lake Huron shoreline close to Sarnia, including petrochemical refineries, other chemical industries, and a major coal fired electric generation facility in Lambton, Ontario. The excess  $SO_2/NO_x$  ratio (mole mole<sup>-1</sup>) in the pollution plume at ground level during the pollution event was calculated to be  $2.21 \pm 0.08$  mole mole<sup>-1</sup>. This can be compared to emissions from the largest point
- <sup>15</sup> sources within a 5 km radius of Sarnia that contain stacks. According to the National Pollutant Release Inventory (EC, 2011), the total emissions of SO<sub>2</sub> and NO<sub>x</sub> from the top 10 point sources in Sarnia are 23.9 ktonnes yr<sup>-1</sup> and 5.82 ktonnes yr<sup>-1</sup> respectively, with a SO<sub>2</sub>/NO<sub>x</sub> ratio of 2.95 mole mole<sup>-1</sup>, dominated by refinery emissions. The corresponding emissions of SO<sub>2</sub> and NO<sub>x</sub> from the stack of the Lambton coal-fired elec-
- <sup>20</sup> tric utility (~16 km south of the major refineries in Sarnia) are 6.19 ktonnes yr<sup>-1</sup> and 3.96 ktonnes yr<sup>-1</sup>, with a SO<sub>2</sub>/NO<sub>x</sub> ratio of 1.10 mole mole<sup>-1</sup>. Although we cannot identify a single source from this, the evidence suggests that the plume impacting the site during this period was from either one or several elevated fuel combustion sources that fumigated to the surface as the lake breeze front passed Ridgetown. After this time,
- the winds at the site continued to shift towards a more northerly direction and the site experienced a clean lake breeze from Lake Huron for the rest of the afternoon, devoid of surface or elevated pollution sources of NO<sub>2</sub>. While evidence points to the fact that the plume was elevated, an explanation is still required for the large increase in the VCD and simultaneous arrival with the lake breeze front. A lake breeze front is known

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to be a narrow convergence zone with enhanced lift that can transport pollutants upward. The front can also result in a region of spatial stagnation, with respect to the inflow layer, if the speed of the front moves slower than the inflow layer. The dynamics of both lift, and recirculation that exist at the front (Lyons and Cole, 1973; Sills et al.,

- <sup>5</sup> 2011) can thus result in a concentration of pollutants at the front. Our result presents evidence (perhaps for the first time) that these dynamics can lead to an overall increase in not only the concentration of pollutants, but also the vertical column of pollutants at the front as well. This is the first demonstration of such an effect using MAX-DOAS, to the best of our knowledge.
- Figure 14 summarizes measurements made on 2 July 2007. The area was under the influence of a regional high pressure system on this day with light winds, sunshine, cool temperatures (< 21 °C) and "classic" lake breeze conditions (Sills et al., 2011). Ground level pollution (SO<sub>2</sub>, NO<sub>2</sub>, PM<sub>2.5</sub>, O<sub>3</sub>) was low throughout most of the day, as was O<sub>3</sub> (<35 ppb, not shown). The MAX-DOAS measurements indicated a clean troposphere</p>
- with VCDs <  $2 \times 10^{15}$  molec cm<sup>-2</sup> and BL<sub>eff</sub> < 200 m prior to 15:00 EDT, indicating either a shallow or inhomogeneously mixed boundary layer with NO<sub>2</sub> confined to the surface. By late morning and throughout the afternoon, the site was experiencing a moderate lake breeze from the S–SE (Lake Erie) although satellite imagery indicates that upper air movement was from the W–NW. After 15:00 EDT, the VCDs of NO<sub>2</sub> showed an appreciable increase from ~  $5 \times 10^{14}$  molec cm<sup>-2</sup> up to  $5 \times 10^{15}$  molec cm<sup>-2</sup>, while the
- <sup>20</sup> appreciable increase from ~  $5 \times 10^{14}$  molec cm<sup>-2</sup> up to  $5 \times 10^{15}$  molec cm<sup>-2</sup>, while the BL<sub>eff</sub> increased from less than 100 m to greater than 1 km. A close examination showed that the ground level mixing ratio of NO<sub>2</sub> remained unchanged at ~2 ppb throughout this period, indicating that the increase in vertical column of NO<sub>2</sub> was not due to a surface source of NO<sub>2</sub>. Unlike the elevated plume seen on 30 June, in this case the DSCDs
- <sup>25</sup> at lower elevation angles (DSCD<sub>2</sub>, DSCD<sub>4</sub>) did not show any appreciable increase. Further examination indicates that the increase in the VCD is being largely driven by increase in DSCD<sub>30</sub>. In a qualitative sense, this indicates that the elevated NO<sub>2</sub> must be at very high elevation from the surface, much higher than seen on 30 June. Indeed, elevated forest fire plumes, visible as brown streaks high in the sky, and originating

from northern Canada (Saskatchewan) were reported this day in southern Ontario, and were clearly visible in the sky, moving south at high altitude, likely in the upper free troposphere. Although the aerosol optical depth was higher in the morning ( $\tau_{\text{BTM}}$  = 0.4), likely due to continental aerosol pollution from the vicinity of Toronto (NE),  $\tau_{\rm RTM}$ 

- increased marginally during the smoke plume event while PM2.5 was unchanged or decreased slightly, an indication that a small amount of aerosol was likely associated with the biomass plume. Thus, this case study illustrates a situation where transport of pollution through the region at high altitude was detected by MAX-DOAS, but would be virtually undetected via ground level measurements.
- Figure 15 summarizes measurements made on 9 July 2007. This day was characterized by warm temperatures (33°C maximum), hazy conditions with strong W-SW synoptic flow, high deformation lake breezes around the lakes, and strong convective initiation (Sills et al., 2011). High pressure existed south east of the Great Lakes, a typical situation for the transport of ozone and aerosol precursors into southern On-
- tario from the SW (MOE, 2011). Indeed, aerosols were abundant with  $PM_{2.5}$  levels in 15 the range of 10–50  $\mu g\,m^{-3},$  and  $\tau_{\rm RTM}\sim$  1.0  $\pm$  0.2 in late afternoon, apart from a single value of 2.8 at 17:00 EDT. Ozone also recorded a maximum of 87 ppb, a few minutes prior to the passage of a lake breeze front. Due to the strong convection and cumulus clouds in the vicinity for much of the day,  $\text{VCD}_{\text{RTM}}$  could not be determined until

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- after 17:00 EDT. Winds that were from the W-SW (260°) at 9 m s<sup>-1</sup>, switched to S-SW (215°) at 13 m s<sup>-1</sup> with gusts up to 17 m s<sup>-1</sup> at 15:10 EDT, indicating the passage of the highly deformed lake breeze front (Lake Erie), and accompanied with an increase in relative humidity, spikes in  $O_3$ ,  $PM_{2.5}$ , CO (not shown), and a drop in  $NO_2$ . Between 17:00 and 19:15 EDT, conditions were hazy but cloud-free such that estimates
- of VCD<sub>RTM</sub> were determinable. The NO<sub>2</sub> was relatively low, between 0.5 and 1.5 ppb, while VCDs ranged from  $2-4 \times 10^{15}$  molec cm<sup>-2</sup>, and BL<sub>eff</sub> increased from 800 m to 2600 m at 17:10 EDT. The DSCDs do not give any indication of an elevated plume, and a measure of the true boundary layer height was not available at Ridgetown on this day. However, the potential temperature profile measured by sonde release at White Lake,

Michigan, just west of Detroit (Station DTX, #72632), indicated a subsidence inversion at 1.90 km at 20:00 EDT, that was comparable to the average BL<sub>eff</sub> measured between 16:00 and 17:10 EDT (1.93 km). Thus, on this particular day, when strong wind speeds and strong convection would support a well mixed boundary layer, the value of BL<sub>eff</sub>

- was comparable to the true boundary layer height measured in a continental region close to the site. This is one of the few situations during the study where we expect this to be true. In such a case, the mixing ratio of NO<sub>2</sub> measured at ground level is quite low, and may not indicate the total amount of NO<sub>2</sub> being transported into the region in the deep boundary layer. To estimate the total transport of tropospheric NO2 across a
- boundary tangential to the wind direction during this pollution event, the flux of tropospheric NO<sub>2</sub> perpendicular to the wind direction,  $F_{NO_2}$  (molec s<sup>-1</sup>), could be calculated according the following equation:

$$F_{NO_2} = VCD_{NO_2} \times L \times u_{trop}$$

where L is the length of a border, and  $u_{trop}$  is the average flow rate of the troposphere.

Using the wind speed at 10 m height  $(12 \text{ m s}^{-1} \text{ in late afternoon})$  as a lower limit of 15 the flow rate in the lower troposphere, and the average  $VCD_{RTM}$  in the later afternoon period (3.4 × 10<sup>15</sup> molec cm<sup>-2</sup>), we conservatively estimate the line flux ( $F_{NO_2}/L$ ) of NO<sub>2</sub> through the region to be greater than  $4.1 \times 10^{18}$  molec cm<sup>-1</sup> s<sup>-1</sup>, or 112 kg  $NO_2$  km<sup>-1</sup> h<sup>-1</sup>, during the pollution event. This may be a useful parameter to compare with model estimates of transport fluxes where they exist.

### 5 Conclusions

We outline a method for the determination of aerosol optical depths and vertical column densities of NO<sub>2</sub> using a combination of MAX-DOAS measurement of NO<sub>2</sub> and O<sub>4</sub>, radiative transfer and inversion modeling. The aerosol optical depths ( $\lambda = 360$  nm) de-

termined with this method were compared to other measures of aerosol optical depth

quite low during this event (<1.5 ppb), the column amount of NO<sub>2</sub> was more substantial than otherwise suspected due to a deep and well mixed boundary layer. We have estimated the line flux of NO<sub>2</sub>, at this time, to be greater than 112 kg NO<sub>2</sub> km<sup>-1</sup> h<sup>-1</sup>. Multiplication of the line flux by the width of a boundary line perpendicular to the prevailing wind direction would give the total mass transport of tropospheric NO<sub>2</sub> across the boundary line, during the regional pollution event.

- the presence of the surrounding lakes. In our first case study, we present a convincing example of fumigation of elevated industrial pollutants brought to the surface at a lake breeze front, and an increase in the total VCD of NO<sub>2</sub> at the lake breeze front. Our last case study occurred during a regional smog event with transport of ozone and aerosol precursors from the southwest. Although ground level concentrations of NO<sub>2</sub> were guite low during this event (<1.5 ppb), the column amount of NO<sub>2</sub> was more substan-
- high (~2 km) and similar to the measured continental boundary layer height just west of the study region, likely as a result of instability in the atmosphere that promoted convection and efficient mixing throughout the boundary layer. The variations of BL<sub>eff</sub> with time of day and conditions, should be considered in attempts to determine ground level concentrations of NO<sub>2</sub> from satellite derived measures, or in attempts to validate satellite measurements through use of ground-based measurements.

The case studies examined here also provide some interesting examples of transport of pollutants in this region and the interaction with lake breezes that exist due to

- is not well mixed. We illustrate exceptions to this in three case studies. In two of the cases, we show high values of BL<sub>eff</sub> are due to elevated plumes of NO<sub>2</sub>, one from elevated stack emissions from industry, and one from biomass burning plumes that were transported through the region. In the third case, we found that BL<sub>eff</sub> was very high (~2 km) and similar to the measured continental boundary layer height just west of the study region, likely as a result of instability in the atmosphere that promoted
- to be the effective boundary layer height, BL<sub>eff</sub>. The diurnal pattern of BL<sub>eff</sub> generally follows the expected pattern for the boundary layer height during the day; although we find values of BL<sub>eff</sub> are generally lower than expected for continental boundary layer heights in late afternoon, likely due to surface sources in a boundary layer that is not well mixed. We illustrate exceptions to this in three case studies. In two of the cases, we show high values of BL<sub>eff</sub> are due to elevated plumes of NO<sub>2</sub>, one from
- the geometric approximation. We define the ratio of the tropospheric VCD and ground level concentration of NO<sub>2</sub>

a much wider range of aerosol conditions than would otherwise be possible using just 13069

these differences is probably the different nature of the measurement sites; rural in this study compared to more urban in previous reports, or the overall values of the VCD of NO<sub>2</sub> which were much lower in this study compared to previous reports. Typically, VCDs derived from MAX-DOAS in previous comparisons with satellite measures of NO<sub>2</sub> have used a geometrical approximation to estimate the air mass factor and VCD, similar to the VCD<sub>GEO</sub> values reported here. Our VCD<sub>GEO</sub> values were marginally lower

than our VCD<sub>RTM</sub> values, but only for a small subset of comparisons with a stringent criteria, namely that the VCDs determined using a geometric approximation with elevation angles of 10° and 30° agree to within 15%. The methodology we outlined here to determine VCD<sub>RTM</sub> is not as stringent, and has allowed us to determine VCDs over

VCD<sub>COMP</sub>. On average, VCD<sub>RTM</sub> was 15% higher than VCD<sub>COMP</sub>, but the difference was not statistically significant, which was taken as a validation for the method outlined here for determining VCDs of NO<sub>2</sub>. Intercomparison of satellite instrument derived VCDs with a limited number of comparison points from OMI (N = 8) and SCIAMACHY (N = 1) indicate that the satellite derived measures were ~50% higher than VCD<sub>RTM</sub>, with a mean error of  $0.5 - 0.9 \times 10^{15}$  molec cm<sup>-2</sup>.

Previous literature has reported the opposite, namely that satellite derived measures of VCD are on average smaller than VCDs found via MAX-DOAS. The root cause for

pospheric VCDs compiled through vertical measurements of NO2 close to the site,

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<sup>5</sup> tween the calculated aerosol extinction coefficient ( $\tau_{\rm RTM}/H_{\rm aer}$ ) and PM<sub>2.5</sub> gave a much higher correlation and a slope of 53±10 µg m<sup>-3</sup> km. This emphasizes the importance of taking into account boundary layer heights in any attempts to link aerosol optical depths determined by satellite, for example, with human exposure of aerosols at ground level. The tropospheric VCDs of NO<sub>2</sub> derived this way, VCD<sub>RTM</sub>, were compared to tro-

regionally available (OMI, MODIS, AERONET) with some qualitative agreement, although differences in the wavelengths used and spatial locations prevented a more direct comparison of these measures. A direct comparison between  $\tau_{\rm RTM}$  and PM<sub>2.5</sub> measured at the site gave a very low level of correlation, while a direct comparison be-

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Table 1. Comparison of RTM and composite VCDs of NO<sub>2</sub> on 26 June 2007.

Point	Time (EDT)	$VCD^*_{COMP}$ (10 <sup>15</sup> molec cm <sup>-2</sup> )	$VCD^*_{RTM}$ (10 <sup>15</sup> molec cm <sup>-2</sup> )	Error (10 <sup>15</sup> molec cm <sup>-2</sup> )	VCD <sub>RTM</sub> vs. VCD <sub>COMP</sub>
1	08:31-08:57	$4.06 \pm 1.11$	$5.84 \pm 1.92$	1.78	
2	09:03-09:29	$3.85 \pm 1.19$	$4.18 \pm 1.37$	0.33	
3	17:25-17:46	$3.06 \pm 1.22$	$2.59 \pm 0.93$	-0.47	
4	17:52-18:15	$3.18 \pm 1.22$	$3.50 \pm 1.25$	0.32	
Mean		$3.54 \pm 0.59$	$4.03 \pm 0.71$	0.49	$1.14 \pm 0.27$
RMSE				0.95	
				Slope (forced zero intercept) Correlation, <i>R</i>	1.16±0.12 (0.39) 0.77

\* All uncertainties are standard (1 $\sigma$ ) except those in brackets, which are at the 95% confidence level.

<b>Table 2.</b> Comparison of various N	O₀ VCDs to th	e values of VCI	Ости		Discussion Paper	
	OMI AURA*	SCIAMACHY ENVISAT	Geometric Approximation*	Aircraft Composite*	Discussion	
Number of Data Pairs, N	8	1	10	4	Dap	
$VCD_{measured}$ (×10 <sup>15</sup> molec cm <sup>-2</sup> )	2.67	1.39	1.88	3.54	D C	
$\overline{\text{VCD}}_{\text{RTM}}$ (×10 <sup>15</sup> molec cm <sup>-2</sup> )	1.76	0.916	2.05	4.03	_	
VCD <sub>measured</sub> /VCD <sub>RTM</sub>	1.52 ± 0.12 (0.25)	1.5	0.92 ± 0.07 (0.15)	0.88 ± 0.09 (0.19)	Disc	
Slope (forced zero intercept)	1.47 ± 0.20 (0.47)	N/A	$0.88 \pm 0.04$ (0.09)	$0.83 \pm 0.09$ (0.28)	DISSIC	
Mean Error ( $\times 10^{15}$ molec cm <sup>-2</sup> )	0.91	0.48	-0.17	-0.49	ň	
RMSE ( $\times 10^{15}$ molec cm <sup>-2</sup> )	1.33	N/A	0.41	0.95	ap	
Correlation, R	0.63	N/A	0.97	0.77	θŗ	
All uncertainties are standard $(1\sigma)$ except	those in brackets	s, which are at the 9	5% confidence level.		_	
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# Table 2. Comparison of various $NO_2$ VCDs to the values of $VCD_{RTM}$ .

<b>Table 3.</b> Average VCD <sub>RTM</sub> , ground NO <sub>2</sub> , and BL <sub>eff</sub> for selected time periods at Ridgetowr
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Time Period	$VCD_{RTM}$	$n_{\rm NO_2}$ (×10 <sup>15</sup> molec cm <sup>-3</sup> )	BL <sub>eff</sub>
(EDT)	(×10 <sup>15</sup> molec cm <sup>-2</sup> )		(m)
06:00-09:00 09:00-12:00 12:00-16:00 16:00-20:00	$2.4 \pm 0.8 \\ 2.1 \pm 0.7 \\ 1.5 \pm 0.5 \\ 2.7 \pm 0.9$	$21.4 \pm 3.2 \\ 10.6 \pm 1.7 \\ 6.4 \pm 1.0 \\ 7.1 \pm 1.0$	$119 \pm 45$ $203 \pm 77$ $201 \pm 77$ $521 \pm 198$



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**Fig. 1.** BAQS-Met field study Ridgetown supersite and other areas of interest in southwestern Ontario and adjacent areas in the USA.



**Fig. 2.** NO<sub>2</sub> MAX-DOAS fit retrieval for a measurement with  $\alpha = 4^{\circ}$  on 20 June 2007, 09:46 EDT. This fit, performed between 410–435 nm, includes the NO<sub>2</sub> and O<sub>3</sub> absorption cross sections, plus a 3rd order polynomial, offset polynomial, FRS, and Ring. The residual of the fit is shown in the second panel. For each remaining panel the black line represents the DOAS fit, and the red line represents the DOAS fit plus the residual of the species examined.

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**Fig. 3.** Flowchart of methodology for determination of  $NO_2$  VCDs and aerosol properties from MAX-DOAS measurements, RTM and inverse modeling. Measurements in green boxes represent products obtained from direct MAX-DOAS measurements in the field, while parameters and products shown in the grey boxes represent modeled quantities and results only. The quantities in the yellow boxes are obtained from inverse modeling.





Fig. 4.  $O_4$  DSCDs for cloud-free conditions during the BAQS-Met campaign at the Ridgetown supersite. Major ticks represent 12 midnight and 12 noon EDT, while minor ticks indicate every 4-h increment.



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**Fig. 5.** Aerosol optical depth for the entire BAQS-Met campaign. For AERONET locations (Egbert, Kellogg)  $\lambda_{avg} = 360$  nm, for coincident satellite measurements (OMI  $\lambda = 388$  nm, MODIS  $\lambda = 550$  nm), and for the MAX-DOAS-RTM values at Ridgetown,  $\lambda = 360$  nm. Major ticks represent 12 midnight and 12 noon EDT, while minor ticks indicate every 4-h increment. 13087



**Fig. 6.** Top panel **(A)** – aerosol extinction coefficient (red) and  $PM_{2.5}$  (grey) at Ridgetown. Bottom panel **(B)** –  $PM_{2.5}$  vs. aerosol extinction coefficient – Ridgetown. Slope of the trend line (y-intercept zero forced) = 53 µg m<sup>-3</sup> km,  $R^2$  = 0.75.



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**Fig. 7.** AM flight path (08:32–11:07 EDT) and PM flight path (16:07–18:21 EDT) on 26 June 2007. Panel (**A**) and (**C**) show the NO<sub>2</sub> mixing ratios and the aircraft location every 20 minutes. Panel (**B**) and (**D**) show the aircraft elevation and BL (a.g.l.) as a function of time. The numbered sections on all figures show the locations, elevations, and approximate mixing ratios used for constructing the composite NO<sub>2</sub> profiles. The Ridgetown supersite location is starred.



**Fig. 8.** NO<sub>2</sub> concentration profiles used to construct the composite NO<sub>2</sub> VCDs for the AM flight path (08:31–08:57 EDT-left) and PM flight path (17:52–18:10 EDT-right) on 26 June 2007. Colored circles represent NO<sub>2</sub> aircraft measurements, while black squares represent ground-based NO<sub>2</sub> by active DOAS. The boundary layer (BL) for each case is marked with the horizon-tal dashed line.



**Fig. 9.** Comparison of all NO<sub>2</sub> tropospheric VCDs at Ridgetown derived from aircraft/ground, satellite, and MAX-DOAS-RTM measurements.

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Fig. 10. Averaged OMI tropospheric  $NO_2$  VCDs for the intensive field study period (10 June– 10 July 2007) in southwestern Ontario. Ridgetown supersite is starred.





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**Fig. 11.** Polar class scatter plot diagrams for Ridgetown. The NO<sub>2</sub> VCD<sub>RTM</sub> (molec cm<sup>-2</sup>), the NO<sub>2</sub> number density (molecules cm<sup>-3</sup>), and the BL<sub>eff</sub> (cm), are plotted on the radial axis vs. the wind direction, and are color-coded for the time of day of each measurement.





**Fig. 12.** Measurements at Ridgetown on 30 June 2007. NO<sub>2</sub> MAX-DOAS DSCDs with NO<sub>2</sub> VCD<sub>RTM</sub> values are followed by ground level measurements of SO<sub>2</sub> and NO<sub>2</sub>, BL<sub>eff</sub>, wind direction, wind speed, PM<sub>2.5</sub>, and AOD ( $\tau_{\text{RTM}}$ ).



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Fig. 13. HYSPLIT back trajectory for Ridgetown (starred) on 30 June 2007. Time scale in UTC.

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**Fig. 14.** Measurements at Ridgetown on 2 July 2007. NO<sub>2</sub> MAX-DOAS DSCDs with NO<sub>2</sub> VCD<sub>RTM</sub> values are followed by ground level measurements of SO<sub>2</sub> and NO<sub>2</sub>, BL<sub>eff</sub>, wind direction, wind speed, PM<sub>2.5</sub>, and AOD ( $\tau_{\text{RTM}}$ ).



**Fig. 15.** Measurements at Ridgetown on 9 July 2007. NO<sub>2</sub> MAX-DOAS DSCDs with NO<sub>2</sub> VCD<sub>RTM</sub> values are followed by ground level measurements of SO<sub>2</sub> and NO<sub>2</sub>, BL<sub>eff</sub>, wind direction, wind speed, PM<sub>2.5</sub>, and AOD ( $\tau_{\text{RTM}}$ ).